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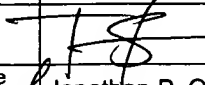
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/601,591-Conf. #8352
	Filing Date	September 25, 2000
	First Named Inventor	Jerome Meric
	Art Unit	2617
	Examiner Name	J. Ma
Total Number of Pages in This Submission	Attorney Docket Number	11345/024001

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Claim for Priority and Submission of Documents Return Receipt Postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

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Date	March 3, 2006	Reg. No.	33,986

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Docket No.: 11345/024001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Jerome Meric et al.

Application No.: 09/601,591

Confirmation No.: 8352

Filed: September 25, 2000

Art Unit: 2617

For: CONFIGURING METHOD AND DEVICE

Examiner: J. Ma

**CLAIM FOR PRIORITY AND SUBMISSION OF DOCUMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby claim priority under 35 U.S.C. 119 based on the following  
prior foreign application filed in the following foreign country on the date indicated:

<u>Country</u>	<u>Application No.</u>	<u>Date</u>
European Patent Office	98400240.2	February 4, 1998

In support of this claim, a certified copy of the said original foreign application is  
filed herewith.

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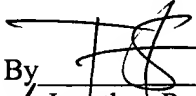
Application No.: 09/601,591

Docket No.: 11345/024001

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 11345/024001 from which the undersigned is authorized to draw.

Dated: March 3, 2006

Respectfully submitted,

By  #45,079  
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Application No. (if known): 09/601,591

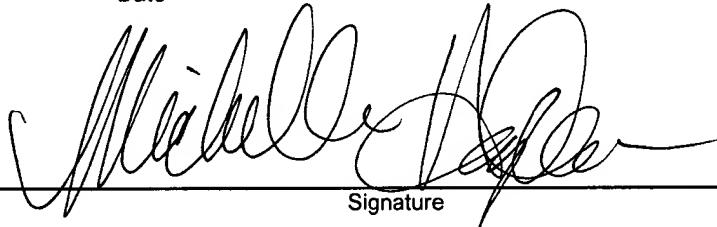
Attorney Docket No.: 11345/024001

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Transmittal (1 page)  
Claim for Priority and Submission of Documents (2 pages)  
Certified Copy of EP Appl. No. 98400240.2

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**Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

**Patentanmeldung Nr.**

**Patent application No.**

**Demande de brevet n°**

98400240.2 / EP98400240

The organization code and number of your priority application, to be used for filing abroad under the Paris Convention, is EP98400240

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R.C. van Dijk

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A conditional access system enables an end user to access digital television broadcasts from one or more broadcast suppliers. In such a conditional access system, a Subscriber Authorization System (SAS) manages access rights to television programmes, available as commercial offers and sold according to different modes of commercialisation (subscription mode, pre-book mode, impulse mode). The SAS, according to those rights and to information received from a Subscriber Management System (SMS), generates using a message generator so-called "Entitlement Management Messages" (EMMs) which are broadcast by a message emitter via a multiplexer to the receiver/decoder of the subscriber to authorize him/her. An EMM may be designated to one subscriber or a group of subscribers. Entitlement Control Messages (ECMs) are messages sent in relation to scrambled programs. An ECM enables a user to descramble a control word to obtain the right to descramble a broadcast transmission, such as a television programme. During a broadcast transmission, the control word typically changes every few seconds, and so ECMs are also periodically transmitted to enable the changing control word to be descrambled.

A security module, such as a smartcard, capable of decrypting messages relating to commercial offers (that is, one or more television programmes sold by the broadcast supplier) is inserted in the receiver/decoder. Using the receiver/decoder and smartcard, the end user may purchase events.

It is expected that the receiver/decoder may be designed and manufactured by various different manufacturers. It may therefore have various different hardware designs, and may support one of a number of conditional access systems. Indeed, a receiver/decoder may support more than one conditional access system. In this case, the system used at any one time is selected by the subscriber, who is required to insert the correct smartcard into the receiver/decoder in order to access the chosen conditional access system.

When the broadcasts are in the form of MPEG-type signals, the data is received in the form of data packets of typically 188 bytes within respective types of data stream, for example, video data streams, audio data streams and teletext data streams. Each

## CONFIGURING METHOD AND DEVICE

5 The present invention relates to a device for use in a receiver/decoder, and in particular to a device for configuring components of a receiver/decoder in response to a change in a manipulation protocol or conditional access system used by the receiver/decoder. The invention also relates to a method of configuring a receiver/decoder to access data; the data may be in any suitable form, such as in the form of a computer programme or a television programme.

10 The present invention finds specific application in a broadcast digital television system in which received signals are passed through a receiver to a receiver/decoder and then to a television set. The term "receiver/decoder" used herein may connote a receiver for receiving either encoded or non-encoded signals, for example, television and/or radio signals. The term may also connote a decoder for decoding received signals. Embodiments of such receiver/decoders may include a decoder integral with the receiver for decoding the received signals, for example, in a "set-top box", such a  
15 decoder functioning in combination with a physically separate receiver, or such a decoder including additional functions, such as a web browser or a video recorder.

The receiver/decoder may decode a compressed MPEG-type signal into a television signal for the television set. It is controlled by a remote controller handset, through an interface in the receiver/decoder. The receiver/decoder is used to process the  
20 incoming bit stream, and includes a computer system including a variety of (usually computer software) application modules which cause the system to perform a variety of control and other functions. The term MPEG refers to the data transmission standards developed by the International Standards Organisation working group "Motion Pictures Expert Group" and in particular but not exclusively the MPEG-2  
25 standard developed for digital television applications and set out in the documents ISO 13818-1, ISO 13818-2, ISO 13818-3 and ISO 13818-4. In the context of the present patent application, the term includes all variants, modifications or developments of MPEG formats applicable to the field of digital data transmission.



Anmeldung Nr:  
Application no.: 98400240.2  
Demande no:

Anmeldetag:  
Date of filing: 04.02.98  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

CANAL+ Société Anonyme  
85/89 Quai André Citroën  
75711 Paris Cedex 15/FR

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

### Configuring method and device

In anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)  
Staat/Tag/Aktenzeichen / State/Date/File no. / Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation / International Patent Classification / Classification internationale de brevets:

H04N

Am Anmeldetag benannte Vertragsstaaten / Contracting states designated at date of filing / Etats contractants désignées lors du dépôt:

AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

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packet is preceded by a Packet Identifier (PID) of 13 bits, one PID for every packet transported in the MPEG data stream. Data packets relating to applications, EMMs and ECMs typically comprise one or more MPEG sections.

5 Parameters of each conditional access system, such as the frequency of signals received from a transponder, the values of the PIDs, and any other information necessary to download, for example, an EMM, are stored in the memory of the receiver/decoder. This can result in a large memory requirement for the receiver/decoder, particularly if the receiver/decoder supports several different conditional access systems.

10 When one of these parameters is updated, or the conditional access system is changed, it is necessary to update the components of the receiver/decoder which perform manipulation of the received datastream to enable the end user to access, for example, a television programme broadcast in the datastream. Therefore, there may be many applications stored in the receiver/decoder which require access to components of the  
15 receiver/decoder, adding to the complexity of the receiver/decoder. Such applications may be required to store values of parameters used in the manipulation of the received datastream, which can add further to the memory requirement of the receiver/decoder.

The present invention seeks to solve this and other problems.

20 The present invention provides a device for use in a receiver/decoder operable with different conditional access systems, the receiver/decoder including means for manipulating data received by the receiver/decoder according to a manipulation protocol which is configurable in dependence on the conditional access system, and means for storing parameters associated with the manipulation protocol, the device comprising:

25 means for receiving a command instructing configuration of the manipulation protocol in dependence on the conditional access system;

means for retrieving at least one of the parameters from the storage means in dependence on the command; and

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means for outputting said parameter to the manipulation means for use in configuring the manipulation protocol, whereby the manipulation means is not required to receive all parameters necessary to configure the manipulation protocol for all of the conditional access systems.

- 5 In one preferred embodiment, the manipulation means is provided in the form of a demultiplexer and filter, in which case the manipulation of the received data takes the form of filtering the received data. In this case, the term "manipulation protocol" refers to the setting of the filter which enables only specific components of the received data to be extracted by the receiver/decoder, and the configuration of the  
10 protocol is provided in the form of changing the filter to extract different components of the received datastream.

- As the manipulation means only receives a parameter related to one change of the manipulation protocol, the device (termed the "Conditional Access device") can provide an efficient means of configuring the manipulation protocol to enable data  
15 from different conditional access systems to be downloaded.

- The conditional access device may be arranged to output the parameter to the manipulation means upon receipt of a command instructing output of the parameter. As the parameter, which may be used, for example, in configuring the manipulation protocol for one conditional access system, is sent only after the receipt of this second  
20 command, the retrieval of the parameters from the storage means can occur whilst the manipulation protocol is configured for a different conditional access system, and therefore whilst the manipulation means is manipulating data associated with this conditional access system. This can enable configuration of the manipulation protocol to be conducted efficiently.

- 25 Preferably, the device is capable of receiving commands from a configuring application.

The parameters may include one or more of an identifier of an EMM and an identifier



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of an ECM.

The device may be arranged to receive commands from a plurality of client applications for a plurality of parameters.

5 The present invention also provides a receiver/decoder including a device as aforesaid, said manipulation means arranged to operate under the control of the device to manipulate data, and said means for storing parameters associated with the manipulation protocol.

10 The present invention also extends to a method of configuring a receiver/decoder to access data, the receiver/decoder including means for manipulating data received by the receiver/decoder according to a manipulation protocol which is configurable in dependence on the conditional access system, and means for storing parameters associated with the manipulation protocol, the method comprising the steps of:-

receiving a command instructing configuration of the manipulation protocol in dependence on the conditional access system;

15 retrieving at least one of the parameters from the storage means in dependence on the command; and

20 outputting said parameter to the manipulation means for use in configuring the manipulation protocol, whereby the manipulation means is not required to receive all parameters necessary to configure the manipulation protocol for all of the conditional access systems.

Analogous method features to the device features described above are also provided.

25 The present invention also extends to a device for use in a receiver/decoder, the receiver/decoder including means for storing parameters associated with manipulating data received by the receiver/decoder, and at least one application or further device, the device comprising:

means for generating an identifier for at least one parameter; and

means for outputting said identifier to said at least one application or further

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device.

The identifier can enable the application or further device to access received data, based on the identifier. In other words, the application or further device is not required to store all parameters for the configured manipulation protocol to access  
5 retrieved data so that the memory of the receiver/decoder can be used efficiently.

The device may be used in a receiver/decoder which is operable with different conditional access systems, the parameters being associated with manipulating data received by the receiver/decoder according to a manipulation protocol which is configurable in dependence on the conditional access system.

10 The device may be arranged to store a plurality of parameters, each having a respective assigned identifier.

The present invention extends to a receiver/decoder including a device as aforesaid, said means for storing parameters associated with the manipulation of data received by the receiver/decoder according to a manipulation protocol which is configurable in  
15 dependence on the conditional access system, and said application or said further device.

In one preferred embodiment, the different conditional access systems are different encryption protocols, the parameters are decryption parameters, the manipulation of data is decryption of encrypted data and the manipulation protocol is a decryption  
20 protocol. Therefore, the present invention also extends to a device for use in a receiver/decoder comprising:

means for storing decryption parameters for use in decryption of encrypted data received by the receiver/decoder, the parameters being configurable to enable decryption of a plurality of different encryption protocols;

25 means for receiving decryption parameters to configure at least one decryption protocol; and

means for passing an identifier of a configured decryption protocol to at least

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one further device or application to enable said further device or said application to access, in decrypted form, said encrypted data based on said identifier, whereby said further device or application is not required to store all parameters necessary to effect decryption of said encrypted data.

5 The present invention also provides a method of configuring a receiver/decoder, the receiver/decoder including means for storing parameters associated with manipulating data received by the receiver/decoder, and at least one application or further device, the method comprising:

generating an identifier for at least one parameter; and  
10 outputting said identifier to said at least one application or further device.

Analogous method features to the device features described above are also provided.

Preferred features of the present invention will now be described, purely by way of example, with reference to the accompanying drawings, in which:-

Figure 1 shows the overall architecture of a digital television system according to the  
15 preferred embodiment of the present invention;

Figure 2 shows the architecture of a conditional access system of the digital television system;

Figure 3 shows the structure of an Entitlement Management Message used in the conditional access system;

20 Figure 4 shows in detail the structure of the EMM;

Figure 5 is a schematic diagram of a smartcard;

Figure 6 is a schematic diagram of an arrangement of zones in the memory of the smartcard;

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Figure 7 is a schematic diagram of a PPV event description;

Figure 8 is a functional block diagram of the receiver/decoder;

Figure 9 is a schematic diagram of interfaces of the receiver/decoder;

5 Figure 10 shows certain components of the virtual machine and run time engine in more detail;

Figure 11 is a functional block diagram showing the arrangement of a conditional access device in a receiver/decoder; and

Figure 12 shows the architecture of a receiver system for downloading sections from an MPEG data stream;

10 Figure 13 shows steps in a method of configuring a demultiplexer and filter to download an EMM; and

Figure 14 shows steps in a method of configuring a demultiplexer and filter to download an ECM.

15 Details of a suitable digital interactive television system may be found in our co-pending applications PCT/EP97/02106 - 02117 to which reference should be made, and the disclosures of which are herein incorporated by reference. For ease of reference, parts described in more detail in the aforementioned specifications are generally designated by the reference numerals used in those specifications.

20 An overview of a digital television broadcast and reception system 1000 is shown in Figure 1. The invention includes a mostly conventional digital television system 2000 which uses the known MPEG-2 compression system to transmit compressed digital signals. In more detail, MPEG-2 compressor 2002 in a broadcast centre receives a digital signal stream (typically a stream of video signals). The compressor 2002 is

connected to a multiplexer and scrambler 2004 by linkage 2006. The multiplexer 2004 receives a plurality of further input signals, assembles one or more transport streams and transmits compressed digital signals to a transmitter 2008 of the broadcast centre via linkage 2010, which can of course take a wide variety of forms including  
5 telecom links. The transmitter 2008 transmits electromagnetic signals via uplink 2012 towards a satellite transponder 2014, where they are electronically processed and broadcast via notional downlink 2016 to earth receiver 2018, conventionally in the form of a dish owned or rented by the end user. The signals received by receiver 2018 are transmitted to an integrated receiver/decoder 2020 owned or rented by the  
10 end user and connected to the end user's television set 2022. The receiver/decoder 2020 decodes the compressed MPEG-2 signal into a television signal for the television set 2022.

A conditional access system 3000 is connected to the multiplexer 2004 and the receiver/decoder 2020, and is located partly in the broadcast centre and partly in the  
15 decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of decrypting messages relating to commercial offers (that is, one or several television programmes sold by the broadcast supplier), can be inserted into the receiver/decoder 2020. Using the decoder 2020 and smartcard, the end user may purchase events in either a subscription mode or a pay-  
20 per-view mode.

An interactive system 4000, also connected to the multiplexer 2004 and the receiver/decoder 2020 and again located partly in the broadcast centre and partly in the decoder, enables the end user to interact with various applications via a  
modemmed back channel 4002.

25 The conditional access system 3000 is now described in more detail.

With reference to Figure 2, in overview the conditional access system 3000 includes a Subscriber Authorization System (SAS) 3002. The SAS 3002 is connected to one or more Subscriber Management Systems (SMS) 3004, one SMS for each broadcast

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supplier, by a respective TCP-IP linkage 3006 (although other types of linkage could alternatively be used). Alternatively, one SMS could be shared between two broadcast suppliers, or one supplier could use two SMSs, and so on.

5 First encrypting units in the form of ciphering units 3008 utilising "mother" smartcards 3010 are connected to the SAS by linkage 3012. Second encrypting units again in the form of ciphering units 3014 utilising mother smartcards 3016 are connected to the multiplexer 2004 by linkage 3018. The receiver/decoder 2020 receives a "daughter" smartcard 3020. It is connected directly to the SAS 3002 by Communications Servers 3022 via the modemmed back channel 4002. The SAS sends amongst other things  
10 subscription rights to the daughter smartcard on request.

The smartcards contain the secrets of one or more commercial operators. The "mother" smartcard encrypts different kinds of messages and the "daughter" smartcards decrypt the messages, if they have the rights to do so.

15 The first and second ciphering units 3008 and 3014 comprise a rack, an electronic VME card with software stored on an EEPROM, up to 20 electronic cards and one smartcard 3010 and 3016 respectively, for each electronic card, one (card 3016) for encrypting the ECMs and one (card 3010) for encrypting the EMMs.

20 The operation of the conditional access system 3000 of the digital television system will now be described in more detail with reference to the various components of the television system 2000 and the conditional access system 3000.

### **Multiplexer and Scrambler**

25 With reference to Figures 1 and 2, in the broadcast centre, the digital video signal is first compressed (or bit rate reduced), using the MPEG-2 compressor 2002. This compressed signal is then transmitted to the multiplexer and scrambler 2004 via the linkage 2006 in order to be multiplexed with other data, such as other compressed data.

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The scrambler generates a control word used in the scrambling process and included in the MPEG-2 stream in the multiplexer 2004. The control word is generated internally and enables the end user's integrated receiver/decoder 2020 to descramble the programme.

- 5 Access criteria, indicating how the programme is commercialised, are also added to the MPEG-2 stream. The programme may be commercialised in either one of a number of "subscription" modes and/or one of a number of "Pay Per View" (PPV) modes or events. In the subscription mode, the end user subscribes to one or more commercial offers, or "bouquets", thus getting the rights to watch every channel inside
- 10 those bouquets. In the preferred embodiment, up to 960 commercial offers may be selected from a bouquet of channels. In the Pay Per View mode, the end user is provided with the capability to purchase events as he wishes. This can be achieved by either pre-booking the event in advance ("pre-book mode"), or by purchasing the event as soon as it is broadcast ("impulse mode"). In the preferred embodiment, all
- 15 users are subscribers, whether or not they watch in subscription or PPV mode, but of course PPV viewers need not necessarily be subscribers.

- Both the control word and the access criteria are used to build an Entitlement Control Message (ECM). This is a message sent in relation with a scrambled program; the message contains a control word (which allows for the descrambling of the program)
- 20 and the access criteria of the broadcast program. The access criteria and control word are transmitted to the second encrypting unit 3014 via the linkage 3018. In this unit, an ECM is generated, encrypted and transmitted on to the multiplexer and scrambler 2004. During a broadcast transmission, the control word typically changes every few seconds, and so ECMs are also periodically transmitted to enable the changing control
- 25 word to be descrambled. For redundancy purposes, each ECM typically includes two control words; the present control word and the next control word.

Each service broadcast by a broadcast supplier in a data stream comprises a number of distinct components; for example a television programme includes a video component, an audio component, a sub-title component and so on. Each of these

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components of a service is individually scrambled and encrypted for subsequent broadcast to the transponder 2014. In respect of each scrambled component of the service, a separate ECM is required. Alternatively, a single ECM may be required for all of the scrambled components of a service.

5 **Programme Transmission**

The multiplexer 2004 receives electrical signals comprising encrypted EMMs from the SAS 3002, encrypted ECMs from the second encrypting unit 3014 and compressed programmes from the compressor 2002. The multiplexer 2004 scrambles the programmes and transmits the scrambled programmes, the encrypted EMMs and the encrypted ECMs as electric signals to a transmitter 2008 of the broadcast centre via linkage 2010. The transmitter 2008 transmits electromagnetic signals towards the satellite transponder 2014 via uplink 2012.

**Programme Reception**

The satellite transponder 2014 receives and processes the electromagnetic signals transmitted by the transmitter 2008 and transmits the signals on to the earth receiver 2018, conventionally in the form of a dish owned or rented by the end user, via downlink 2016. The signals received by receiver 2018 are transmitted to the integrated receiver/decoder 2020 owned or rented by the end user and connected to the end user's television set 2022. The receiver/decoder 2020 demultiplexes the signals to obtain scrambled programmes with encrypted EMMs and encrypted ECMs.

If the programme is not scrambled, that is, no ECM has been transmitted with the MPEG-2 stream, the receiver/decoder 2020 decompresses the data and transforms the signal into a video signal for transmission to television set 2022.

If the programme is scrambled, the receiver/decoder 2020 extracts the corresponding ECM from the MPEG-2 stream and passes the ECM to the "daughter" smartcard 3020 of the end user. This slots into a housing in the receiver/decoder 2020. The daughter smartcard 3020 controls whether the end user has the right to decrypt the ECM and to access the programme. If not, a negative status is passed to the receiver/decoder



2020 to indicate that the programme cannot be descrambled. If the end user does have the rights, the ECM is decrypted and the control word extracted. The decoder 2020 can then descramble the programme using this control word. The MPEG-2 stream is decompressed and translated into a video signal for onward transmission to television set 2022.

### Subscriber Management System (SMS)

A Subscriber Management System (SMS) 3004 includes a database 3024 which manages, amongst others, all of the end user files, commercial offers, subscriptions, PPV details, and data regarding end user consumption and authorization. The SMS may be physically remote from the SAS.

Each SMS 3004 transmits messages to the SAS 3002 via respective linkage 3006 which imply modifications to or creations of Entitlement Management Messages (EMMs) to be transmitted to end users.

The SMS 3004 also transmits messages to the SAS 3002 which imply no modifications or creations of EMMs but imply only a change in an end user's state (relating to the authorization granted to the end user when ordering products or to the amount that the end user will be charged).

As described later, the SAS 3002 sends messages (typically requesting information such as call-back information or billing information) to the SMS 3004, so that it will be apparent that communication between the two is two-way.

### Entitlement Management Messages (EMMs)

The EMM is a message dedicated to an individual end user (subscriber), or a group of end users, only (in contrast with an ECM, which is dedicated to one scrambled programme only or a set of scrambled programmes if part of the same commercial offer). Each group may contain a given number of end users. This organisation as a group aims at optimising the bandwidth; that is, access to one group can permit the reaching of a great number of end users.

Various specific types of EMM can be used. Individual EMMs are dedicated to individual subscribers, and are typically used in the provision of Pay Per View services; these contain the group identifier and the position of the subscriber in that group. So-called "Group" subscription EMMs are dedicated to groups of, say, 256 individual users, and are typically used in the administration of some subscription services. This EMM has a group identifier and a subscribers' group bitmap. Audience EMMs are dedicated to entire audiences, and might for example be used by a particular operator to provide certain free services. An "audience" is the totality of subscribers having smartcards which bear the same system identifier (CS ID). Finally, a "unique" EMM is addressed to the unique identifier of the smartcard.

The structure of a typical EMM is now described with reference to Figure 3. Basically, the EMM, which is implemented as a series of digital data bits, comprises a header 3060, the EMM proper 3062, and a signature 3064. The header 3060 in turn comprises a type identifier 3066 to identify whether the type is individual, group, audience or some other type and a length identifier 3068 which gives the length of the EMM. The EMM proper 3062 of course varies greatly according to its type. Finally, the signature 3064, which is typically of 8 bytes long, provides a number of checks against corruption of the remaining data in the EMM.

#### Subscriber Authorization System (SAS)

The messages generated by the SMS 3004 are passed via linkage 3006 to the Subscriber Authorization System (SAS) 3002, which in turn generates messages acknowledging receipt of the messages generated by the SMS 3004 and passes these acknowledgements to the SMS 3004.

In overview the SAS comprises a Subscription Chain area to give rights for subscription mode and to renew the rights automatically each month, a Pay Per View Chain area to give rights for PPV events, and an EMM Injector for passing EMMs created by the Subscription and PPV chain areas to the multiplexer and scrambler 2004, and hence to feed the MPEG stream with EMMs. If other rights are to be granted, such as Pay Per File (PPF) rights in the case of downloading computer

software to a user's Personal Computer, other similar areas are also provided.

One function of the SAS 3002 is to manage the access rights to television programmes, available as commercial offers in subscription mode or sold as PPV events according to different modes of commercialisation (pre-book mode, impulse  
5 mode). The SAS 3002, according to those rights and to information received from the SMS 3004, generates EMMs for the subscriber.

The EMMs are passed to the Ciphering Unit (CU) 3008 for ciphering with respect to the management and exploitation keys. The CU completes the signature 3064 on the EMM and passes the EMM back to a Message Generator (MG) in the SAS 3002,  
10 where the header 3060 is added. The EMMs are passed to a Message Emitter (ME) as complete EMMs. The Message Generator determines the broadcast start and stop time and the rate of emission of the EMMs, and passes these as appropriate directions along with the EMMs to the Message Emitter. The MG only generates a given EMM once; it is the ME which performs cyclic transmission of the EMMs.

15 On generation of an EMM, the MG assigns a unique identifier to the EMM. When the MG passes the EMM to the ME, it also passes the EMM ID. This enables identification of a particular EMM at both the MG and the ME.

Figure 4 illustrates an exemplary EMM (in fact a PPV EMM, which is the simplest EMM). The PID (Packet identifier) 3170 comprises two portions, the actual ID 3172,  
20 and the length parameter for the packet 3174 (necessary in order that the start of the next packet can be identified). The whole PID is expressed in just one byte of information, 4 bits being reserved for the ID, and four for the length.

### Smartcard

A daughter, or "subscriber", smartcard 3020 is schematically shown in Figure 5 and  
25 comprises an 8 bit microprocessor 110, such as a Motorola 6805 microprocessor, having an input/output bus coupled to a standard array of contacts 120 which in use are connected to a corresponding array of contacts in the card reader of the

receiver/decoder 200, the card reader being of conventional design. The microprocessor 110 is also provided with bus connections to preferably masked ROM 130, RAM 140 and EEPROM 150.

5 The EEPROM 150 contains certain dynamically-created operator zones 154, 155, 156 and dynamically-created data zones which will now be described with reference to Figure 6.

EEPROM 150 comprises a permanent "card ID" (or manufacturer) zone 151 of 8 bytes which contains a permanent subscriber smartcard identifier set by the manufacturer of the smartcard 3020.

10 When the smartcard is reset, the microprocessor 110 issues a signal to receiver/decoder 200, the signal comprising an identifier of the conditional access system used by the smartcard and data generated from data stored in the smartcard, including the card ID. This signal is stored by the receiver/decoder 200, which subsequently utilises the stored signal to check whether the smartcard is compatible  
15 with the conditional access system being used by the receiver/decoder 200.

The EEPROM 150 also contains a permanent "random number generator" zone 152 which contains a program for generating pseudo-random numbers. Such random numbers are used for diversifying transaction output signals generated by the smartcard 3020 and sent back to the broadcaster.

20 Below the random number generator zone 152 a permanent "management" zone 153 of 144 bytes is provided. The permanent management zone 153 is a specific operator zone utilised by a program in the ROM 130 in the dynamic creation (and removal) of zones 154, 155, 156... as described below. The permanent management zone 153 contains data relating to the rights of the smartcard to create or remove zones.

25 Below the management zone 153 is a series of "operator ID" zones 154, 155, 156 for operators 1, 2 ..... N respectively. Normally at least one operator ID zone will be

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preloaded into the EEPROM of the subscriber smartcard 3020 so that the end user can decrypt programmes broadcast by that operator. However further operator ID zones can subsequently be dynamically created using the management zone 153 in response to a transaction output signal generated via his smartcard 3020 by the end user (subscriber), as will subsequently be described.

Each operator zone 154, 155, 156 is associated with one or more "operator data objects" stored in the EEPROM 150. As shown in Figure 6, a series of dynamically created "operator data" objects 157-165 are located below the operator ID zones. Each of these objects is labelled with:

- 10 a) an "identifier" 1, 2, 3 .... N corresponding to its associated operator 1, 2, 3 ... N as shown in its left hand section in Figure 6;
- b) an "ID" indicating the type of object; and
- c) a "data" zone reserved for data, as shown in the right hand section of each relevant operator object in Figure 6. It should be understood that each operator is

15 associated with a similar set of data objects so that the following description of the types of data in the data objects of operator 1 is also applicable to the data objects of all the other operators. Also it will be noted that the data objects are located in contiguous physical regions of the EEPROM and that their order is immaterial.

Deletion of a data object creates a "hole" 166 in the smartcard, that is, the number of

20 bytes that the deleted objects had previously occupied are not immediately occupied. The thus "freed" number of bytes, or "hole" are labelled with:

- a) an "identifier" 0; and
- b) an "ID" indicating that the bytes are free to receive an object.

The next data object created fills the hole, as identified by the identifier 0. In this

25 manner the limited memory capacity (4 kilobytes) of the EEPROM 150 is efficiently utilised.

Turning now to the set of data objects associated with each operator, examples of the data objects are now described.

Data object 157 contains an EMM key used for decrypting encrypted EMM's received by the receiver/decoder 2020. This EMM key is permanently stored in the data object 157. This data object 157 may be created prior to distribution of the smartcard 3020, and/or may be created dynamically when creating a new operator zone (as described above).

Data object 159 contains ECM keys which are sent by the associated operator (in this case operator 1) to enable the end user to decrypt the particular "bouquet" of programs to which he has subscribed. New ECM keys are sent typically every month, along with a group subscription (renewal) EMM which renews the end user's overall right to view the broadcast from (in this case) operator 1. The use of separate EMM and ECM keys enables viewing rights to be purchased in different ways (in this embodiment by subscription and individually (Pay Per View)) and also increases security. The Pay Per View (PPV) mode will be described subsequently.

Since new ECM keys are sent periodically, it is essential to prevent a user from using old ECM keys, for example by switching off the receiver/decoder or re-setting a clock to prevent expiry of an old ECM key so that a timer in the receiver/decoder 2020 could be overridden. Accordingly operator zone 154 comprises an area (typically having a size of 2 bytes) containing an obsolescence date of the ECM keys. The smartcard 3020 is arranged to compare this date with the current date which is contained in received ECMs and to prevent decryption if the current date is later than the obsolescence date. The obsolescence date is transmitted via EMMs, as described above.

With reference to Figure 7, the PPV event description 167 contains a "session ID" 168 identifying the viewing session (corresponding to the program and the time and date of broadcasting) a "session mode" 169 indicating how the viewing right is being purchased (e.g. in pre-book mode), a "session index" 170 and a "session view" 171.

In respect of receiving a programme in PPV mode, the receiver decoder 2020 determines whether the programme is one sold in PPV mode. If so, the decoder 2020

checks, using the items stored in the PPV event description 167 whether the session ID for the programme is stored therein. If the session ID is stored therein, the control word is extracted from the ECM.

5 If the session ID is not stored therein, by means of a specific application the receiver/decoder 2020 displays a message to the end user indicating that he has the right to view the session at a cost of, say, 25 tokens, as read from the ECM or to connect to the communications servers 3022 to purchase the event. Using the tokens, if the end user answers "yes" (by means of remote controller 2026 (see Figure 2)) the decoder 2020 sends the ECM to the smartcard, the smartcard decreases the wallet of  
10 the smartcard 3020 by 25 tokens, writes the session ID 168, the session mode 169, the session index 170 and the session view 171 in the PPV event description 167 and extracts and deciphers the control word from the ECM.

In the "pre-book" mode, an EMM will be passed to the smartcard 3020 so that the smartcard will write the session ID 168, the session mode 169, the session index 170  
15 and the session view 171 in the PPV event description 167 using the EMM.

The session index 170 can be set to differentiate one broadcast from the other. This feature permits authorization to be given for a subset of broadcasts, for example, 3 times out of 5 broadcasts. As soon as an ECM with a session index different from the current session index 170 stored in the PPV event description 167 is passed to the  
20 smartcard, the number of the session view 171 is decreased by one. When the session view reaches zero, the smartcard will refuse to decipher an ECM with a different session index to the current session index.

The initial value of the session view depends only on the way in which the broadcast supplier wishes to define the event to which it relates; the session view for a  
25 respective event may take any value.

The microprocessor 110 in the smartcard implements a counting and a comparison program to detect when the limit to the number of viewings of a particular program

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has been reached.

All of the session ID 168, the session mode 169, the session index 170 and the session view 171 in the PPV event description 167 may be extracted from the smartcard using the "call-back" procedure as described previously.

- 5 Each receiver/decoder 2020 contains an identifier which may either identify uniquely that receiver/decoder or identify its manufacturer or may classify it in some other way in order to enable it to work only with a particular individual smartcard, a particular class of smartcards made by the same or a corresponding manufacturer or any other class of smartcards which are intended for use with that class of receiver/decoders
- 10 exclusively.

In this manner the receiver/decoders 2020 which have been supplied by one broadcast supplier to the consumer are protected against the use of non-authorized daughter smartcards 3020.

### **Receiver/Decoder**

- 15 With reference to Figure 8, the receiver/decoder 2020 comprises a run time engine 4008 running under the control of a microprocessor and a common application programming interface 4054. They are installed in every receiver/decoder 2020 so that all receiver/decoders 2020 are identical from the application point of view.

- 20 For the purposes of this description, an application is preferably a piece of computer code for controlling high level functions of preferably the receiver/decoder 2020. For example, when the end user positions the focus of a remote controller on a button object seen on the screen of the television set 2022 and presses a validation key, the instruction sequence associated with the button is run.

- 25 An interactive application proposes menus and executes commands at the request of the end user and provides data related to the purpose of the application. Applications may be either resident applications, that is, stored in the ROM (or FLASH or other



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non-volatile memory) of the receiver/decoder 2020, or broadcast and downloaded into the RAM or FLASH memory of the receiver/decoder 2020.

Applications are stored in memory locations in the receiver/decoder 2020 and represented as resource files. The applications can use data files, such as icon library files, image files, character font files, colour table files and ASCII text files. An interactive application can also obtain on-line data by effecting inputs and/or outputs.

Referring to Figure 9, the receiver/decoder 2020 includes several interfaces; specifically, a tuner 4028 for the MPEG signal flow, a serial interface 4030, a parallel interface 4032, and two card readers 4036, one for a smartcard 3020 forming part of the system and one for bank cards (used for making payments, home banking, etc) or another smart card. The receiver/decoder also includes an interface 4034 to a modemed back channel 4002 to the television signal producer, so that the user can indicate preferences, etc back to the television signal (programme) producer.

The receiver/decoder 2020 contains memory divided into a RAM volume, a FLASH volume and a ROM volume, but this physical organization is distinct from the logical organization. The memory may further be divided into memory volumes associated with the various interfaces. From one point of view, the memory can be regarded as part of the hardware; from another point of view, the memory can be regarded as supporting or containing the whole of the system shown apart from the hardware.

With reference to Figure 9, the system can be regarded as centred on a run time engine 4008 forming part of a virtual machine 4007. This is coupled to applications on one side (the "high level" side), and, on the other side (the "low level" side), via various intermediate logical units discussed below, to the receiver/decoder hardware 4061. The receiver/decoder hardware can be regarded as including the various ports or interfaces as discussed above (the interface 2030 for the handset 2026, the MPEG stream interface 4028, the serial interface 4030, the parallel interface 4032, the interfaces to the card readers 4036, and the interface 4034 to the modemed back channel 4002).

With reference to Figure 8, various applications 4057 are coupled to the unit 4007; some of the more commonly used applications may be more or less permanently resident in the system, as indicated at 4057, while others will be downloaded into the system, eg from the MPEG data stream or from other ports as required.

- 5 The unit 4007 includes, in addition to the run time engine 4008, some resident library functions 4006 which include a toolbox 4058. The library contains miscellaneous functions in C language used by the engine 4008. These include data manipulation such as compression, expansion or comparison of data structures, line drawing, etc. The library 4006 also includes information about firmware 4060 in the receiver/decoder 2020, such as hardware and software version numbers and available RAM space, and a function used when downloading a new device 4062. Functions can be downloaded into the library, being stored in Flash or RAM memory.
- 10

- The run time engine 4008 is coupled to a device manager 4068 which is coupled to a set of devices 4064 which are coupled to device drivers 4060 which are in turn coupled to the ports or interfaces. In broad terms, a device driver can be regarded as defining a logical interface, so that two different device drivers may be coupled to a common physical port. A device will normally be coupled to more than one device driver; if a device is coupled to a single device driver, the device will normally be designed to incorporate the full functionality required for communication, so that the need for a separate device driver is obviated. Certain devices may communicate among themselves.
- 15
- 20

- Each function of the receiver/decoder 2020 is represented as a device 4062. Devices can be either local or remote. Local devices 4064 include smartcards, SCART connector signals, modems, serial and parallel interfaces, a MPEG video and audio player and an MPEG section and table extractor. Remote devices 4066, executed in a remote location, differ from local devices in that a port and procedure must be defined by the system authority or designer, rather than by a device and device driver provided and designed by the receiver/decoder manufacturer.
- 25

When a new device 4062 is created, it can be installed in existing receiver/decoders 2020 by downloading the relevant application 4056 from the broadcast centre. This downloading is performed in the receiver/decoder 2020 by an application 4056 which checks the hardware and software versions and, if correct, loads the software module representing the new device 4062 and asks a procedure of the library 4006 to install the new device code within the firmware (in Flash memory). This can provide a flexible and secure installation of new functions within the receiver/decoder 2020 without affecting the rest of the software.

The device manager 4068 is a common software interface between the application 4056 and the specific functions of the receiver/decoder 2020. The device manager 4068 controls access to devices 4062, declares receipt of an unexpected event, and manages shared memory.

The run time engine 4008 runs under the control of the microprocessor and a common application programming interface. They are installed in every receiver/decoder 2020 so that all receiver/decoders 2020 are identical from the application point of view.

The engine 4008 runs applications 4056 on the receiver/decoder 2020. It executes interactive applications 4056 and receives events from outside the receiver/decoder 2020, displays graphics and text, calls devices for services and uses functions of the library 4006 connected to the engine 4008 for specific computation.

The run time engine 4008 is an executable code installed in each receiver/decoder 2020, and includes an interpreter for interpreting and running applications. The engine 4008 is adaptable to any operating system, including a single task operating system (such as MS-DOS). The engine 4008 is based on process sequencer units (which take various events such as a key press, to carry out various actions), and contains its own scheduler to manage event queues from the different hardware interfaces. It also handles the display of graphics and text. A process sequencer unit comprises a set of action-groups. Each event causes the process sequencer unit to move from its current action-group to another action-group in dependence on the

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character of the event, and to execute the actions of the new action-group.

The engine 4008 comprises a code loader to load and download applications 4056 into the receiver/decoder memory 2028. Only the necessary code is loaded into the RAM or Flash memory, in order to ensure optimal use. The downloaded data is verified  
5 by an authentication mechanism to prevent any modification of an application 4056 or the execution of any unauthorized application. The engine 4008 further comprises a decompressor. As the application code (a form of intermediate code) is compressed for space saving and fast downloading from the MPEG-2 transport stream or via a built-in receiver/decoder mode, the code must be decompressed before loading it into  
10 the RAM. The engine 4008 also comprises an interpreter to interpret the application code to update various variable values and determine status changes, and an error checker.

Before using the services of any device 4062, a program (such as an application instruction sequence) has to be declared as a "client", that is, a logical access-way to  
15 the device 4066 or the device manager 4068. The manager gives the client a client number which is referred to in all accesses to the device. A device 4066 can have several clients, the number of clients for each device 4066 being specified depending on the type of device 4066. A client is introduced to the device 4066 by a procedure "Device: Open Channel". This procedure assigns a client number to the client. A  
20 client can be taken out of the device manager 4068 client list by a procedure "Device: Close Channel".

Each device interfaces with an application under the control of the device manager 4068 by means of one of the three standard procedures, which are common to other devices. Information may be passed between an application and the device by means  
25 of tables. The three basic procedures are summarised briefly below:-

1) Device: Call. This command can be used by an application for performing synchronous commands or data transfer. Execution of the application is suspended until control is returned when the operation by the device driver has completed; this

allows operations which must be performed in strict sequence to be controlled reliably.

2) Device: I/O. This command allows asynchronous operation. That is, an application can send a request for a data transfer or a particular function to be performed by the device driver and execution of the application can continue while  
5 the data transfer or function is performed by the device driver.

3) Device: Event. The event trapping function enables events to be signalled by the device to an application, and for particular action to be taken by the application in response to the event independently of the code the application is executing at the time the event is signalled; effectively the application is interrupted. Events may be  
10 prioritised. Events may be used to signal events occurring on the interface, such as a bus reset, or to provide monitoring of asynchronous commands, for example by signalling completion of a requested data transfer.

As noted above, the main loop of the run time engine is coupled to a variety of process sequencer units, and when the main loop encounters an appropriate event,  
15 control is temporarily transferred to one of the process sequencer units.

Referring to Figure 10, the device manager includes a queue 100 into which events from the devices are passed for temporary storage. At suitable intervals, the virtual machine sends a signal to this queue to extract the first item from it. This event item is moved to a queue structure 101 in the virtual machine. Depending on the priority  
20 level of the event item, it is inserted into the appropriate one of the 5 queues 0 to 4. Event items are extracted from the queue structure 101 by a queue selector unit 102 under the control of the run time engine.

When an event is selected from the queue structure 101, it is passed to a process sequencer unit engine 104, which consists of a process sequencer unit driver 105 and  
25 a set of process sequencer units 106. Each process sequencer unit is a set of action-groups linked together, so that each step from one action-group to the next action-group is, in general, dependent on the current action-group and the nature of the

event. Different process sequencer units have different sizes and complexities, including one in which the "next" action-group, ie the action-group to which the system steps on in response to an event, is dependent solely on the nature of the event but is independent of the current action-group. Also, as is shown at the right-hand  
5 side of the process sequencer units block, there may be several copies of a process sequencer unit, ie several identical process sequencer units, to deal eg with several separate data streams using identical protocols through a single port.

When an event is selected, it is passed to the appropriate process sequencer unit. This selects the appropriate outlet from the current action-group on the process  
10 sequencer unit. This results in the appropriate next action-group being selected and the actions in that action-group being performed, involving eg the sending of a message to the device manager or the execution of an instruction sequence. Action-groups in the process sequencer unit can also send event messages to other process sequencer units.

15 If an instruction sequence is selected, the identification of the instruction sequence is sent to a instruction sequence selector 107. This obtains the desired instruction sequence from a instruction sequence memory 108 and passes it to a instruction sequence interpreter 109, which executes the instruction sequence.

The system also includes a filter 110, which is loaded with event types eg from the  
20 process sequencer units 106. When an event item is passed from the queue 100 in the device manager to the queue structure 101 in the virtual machine, its type or character is matched against the list in the filter 110, and if it is of a type which is not recognized, it is rejected. This ensures that if say the device manager or the keyboard generates events of a type which the virtual machine cannot deal with, those  
25 events are not passed to the queue structure 101. (If events of this kind were passed to the queue structure 101, either they would accumulate in that queue structure or they might cause malfunctioning of the process sequencer unit engine 104.)

Thus, it can be seen that our basic system provides a platform having considerable

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flexibility in enabling an application to communicate with a variety of devices.

### Conditional Access Device

With reference to Figures 11 and 12, the receiver/decoder 2020 includes a conditional access device, or CA device, 4100. The CA device 4100 is coupled via port 4103 to device driver 4102 for the smartcard reader 4036 and via port 4105 to device driver 4104 for a demultiplexer and filter 4502 of the receiver/decoder 2020. The CA device is also coupled via port 4101 to the device manager 4068 to receive commands from, and transmit messages to, applications 4056', 4057' stored in the receiver/decoder 2020 and to access the memory 4024 of the receiver/decoder 2020 in order, for example, to retrieve data stored therein.

The CA device 4100 can inform a configuring application, for example a resident application 4057', of the conditional access systems supported by the receiver/decoder and the conditional access system currently being used by the receiver/decoder. Such a configuring application is able to change the conditional access system currently being used by the receiver/decoder 2020. The type of conditional access system currently being used by the receiver/decoder is written in a buffer in the memory 4024 of the receiver/decoder as allocated by the CA device 4100. The address of this buffer may be transmitted to the configuring application 4057' via device manager 4068. This buffer also contains information regarding the version of the conditional access system currently being used by the receiver/decoder, each conditional access system supported by the receiver/decoder, and the version of each conditional access system supported by the receiver/decoder.

A command "CALL\_SELECT" enables an application program to select the conditional access system to be used by the receiver/decoder. The type and version number of the conditional access system are stored in a memory zone in the memory 4024 of the receiver/decoder. The address of the memory zone associated with any conditional access system supported by the receiver/decoder is also transferable to the configuring application 4057' via device manager 4068. Following receipt of the address of the memory zone, the application program sends the address to the CA

device 4100 in this command. In response to the command, the CA device 4100 changes the data stored in the buffer in the memory zone of the memory 4024. The command returns a signal indicating completion of the command, or failure of the command if an EMM or ECM is either being loaded by the receiver/decoder 2020 or  
5 being processed by the receiver/decoder 2020.

The CA device 4100 enables EMMs to be loaded, and ECMs to be demultiplexed for component scrambling, for any conditional access system supported by the receiver/decoder 2020.

With reference to Figure 12, electromagnetic signals received by receiver 2018 are  
10 transmitted to MPEG tuner 4028. The tuner typically scans a range of frequencies, stopping only when a carrier frequency is detected within that range. The thus detected signals are transmitted to demodulator 4500, which demodulates the signals and transmits them to demultiplexer and filter 4502.

The demultiplexer and filter 4502 performs manipulation of the received datastream  
15 so that only the required components of the datastream are extracted by the receiver/decoder 2020 from the datastream. The data manipulation is conducted according to a manipulation protocol which is configurable in dependence on the conditional access system currently being used by the receiver/decoder.

Data is transported in the MPEG data stream in the form of data packets of typically  
20 188 bytes within respective types of data stream, for example, video data streams, audio data streams and teletext data streams. Each packet is preceded by a Packet Identifier (PID) of 13 bits, one PID for every packet transported in the MPEG data stream. A programme map table (PMT table) contains a list of the different data streams and defines the contents of each data stream according to the respective PID.  
25 Each PMT table is associated with a respective broadcast channel. A PID may alert a device to the presence of applications in the data stream, the PID being identified using the PMT table. The value of the PID provides a parameter associated with the manipulation protocol.



The data packets relating to applications, EMMs and ECMs typically comprise one or more MPEG sections. To enable video packets, audio packets, teletext packets and subtitle packets for a service to be downloaded by the receiver/decoder, the correct EMMs and ECMs must be provided beforehand.

- 5 The manipulation protocol of the demultiplexer and filter 4502 utilises one or more of typically 8 bytes of an MPEG section of the data stream, typically offset byte 0 and offset bytes 3 to 9, to filter sections from the data stream to enable the desired application, EMM or ECM to be downloaded. In dependence on the value of the PID of the application, EMM or ECM to be downloaded, the filter is configurable to
- 10 enable only that particular application, EMM or ECM to be downloaded. Following filtering by the demultiplexer and filter 4502, an EMM or ECM can be transmitted to the smartcard 3020 inserted in the smartcard reader of the receiver/decoder for storage therein.

- Demultiplexer and filter 4502 is connected to a descrambler 4504, which in turn is
- 15 connected to MPEG chip 4506. The chip 4506 in turn is connected to television 2022. The demultiplexer and filter 4502 typically provides up to 32 outputs connected to RAM volume 4022 of the memory 4024 of the receiver/decoder 2020.

- The video packets, audio packets, teletext packets and subtitle packets for a service are demultiplexed by the demultiplexer 4502, descrambled by the descrambler 4504,
- 20 using a control word extracted from an ECM, and transmitted to MPEG chip 4506 for processing into signals in a form compatible with the television set 2022.

### **EMM Loading**

- The CA device 4100 controls the loading of EMMs in the receiver/decoder in dependence on the conditional access system being, or to be, used by the
- 25 receiver/decoder 2020.

A conditional access table (CAT table) contains a list of the different conditional access systems (in the form of system identifiers (CS IDs)) and defines the PIDs 3170

of the EMMs associated with each CS ID for use in the demultiplexing and filtering of data. The CAT table is downloaded regularly from the datastream and stored in a memory zone of the memory 4024 of the receiver/decoder 2020. The CAT table may be updated at any time, for example, if the PIDs of any of the EMMs identified  
5 thereby has changed because the EMM packets are being transmitted from a new transponder.

When an updated CAT table has been downloaded in the receiver/decoder 2020, an application, using a command "CALL\_SET\_CAT", can inform the CA device 4100 of the presence of the new CAT table.

- 10 The list of PIDs for each system is used in the configuration of the demultiplexer and filter 4502 of the receiver/decoder 2020 so that, for the conditional access system being used by the receiver/decoder, the correct data manipulation protocol is used to enable the correct EMMs to be transmitted to, for example, the smartcard 3020. Therefore, when there is a change in either:
- 15 (i) the CAT table; or  
(ii) the conditional access system being used by the receiver/decoder;  
the demultiplexer and filter 4502 is updated to change the data manipulation protocol.

Upon receipt of a command "CALL\_SET\_INFO" from an application, the CA device 4100 identifies the conditional access system used by the receiver/decoder and  
20 generates, using the CAT table stored in the memory 4024, a table comprising the values of the PIDs of the EMMs of that conditional access system.

When the CA device 4100 receives a command "IO\_EMM\_START" from an application, the thus-generated table is transmitted to the demultiplexer and filter driver 4104 to configure the demultiplexer and filter 4502 accordingly so that the  
25 correct manipulation protocol is used. The command returns a signal to the application 4057' indicating completion of the command, or failure of the command if an EMM is currently being downloaded or if no conditional access system has been set using the CA\_SELECT command.

The above operation of the CA device is summarised in Figure 13.

First, in step S100, the CA device receives command "CALL\_SET\_CAT" via port 4101 from an application which informs the CA device of a new CAT table in the memory 4024 of the receiver/decoder 2020. From the CAT table, the CA device is  
5 able to access a list of all of the different conditional access systems (in the form of system identifiers (CS IDs)) supported by the receiver/decoder 2020 and lists of the PIDs of the EMMs associated with each CS ID. These lists provide parameters associated with the manipulation protocol of the demultiplexer and filter 4502.

Next, in step S102, the CA device receives command "CALL\_SET\_INFO" via port  
10 4101 from an application, which identifies the conditional access system used by the receiver/decoder and, in response, the CA device generates in step S104, using the CAT table, a table comprising the values of the PIDs of the EMMs of that conditional access system only as retrieved from the memory 4204 via port 4101.

Finally, in step S106, the CA device receives command "IO\_EMM\_START" via port  
15 4101 from an application, and in step S108 the table generated in step S104 is transmitted to the demultiplexer and filter driver 4104 via port 4105 to configure the manipulation protocol for the demultiplexer and filter 4502, that is, to configure the filter of the demultiplexer and filter 4502, so that only the EMMs of that conditional access system can be downloaded by the receiver/decoder 2020.

20 In the above operation, only those parameters necessary to configure the manipulation protocol are transmitted to the demultiplexer and filter 4502.

With respect to the subsequent handling of the downloaded EMMs, event "EVENT\_CA\_EMM" from the CA device 4100 is arranged to signal to an application the reception by a smartcard of an EMM transmitted thereto, and provide a parameter  
25 block addressed to an application which contains the address of the stored EMM and information provided by the smartcard driver 4102 via port 4103, this information depending on the conditional access system being used by the receiver/decoder 2020.

Event "EVENT\_CA\_EMM\_ERROR" is arranged to signal the rejection by a smartcard of an EMM transmitted thereto, for example, if the smartcard does not have the right to store the EMM, and provide a data block addressed to the application which contains information provided by the smartcard, this information depending on  
5 the conditional access system being used by the receiver/decoder.

Alternatively, an "applicative" EMM (that is, an EMM transmitted in respect of an application) may be stored in the memory of the receiver/decoder, for example, in order to verify that the application 4056 is functioning correctly. Event "EVENT\_CA\_APP\_EMM" is arranged to signal to an application the reception of an  
10 applicative EMM. The event provides a data block addressed to the application which contains the address of the stored EMM.

### **ECM Loading**

The CA device 4100 manages the demultiplexing of ECMs for component descrambling.

15 Using a command "CALL\_ADD\_ECM" an application provides the CA device 4100 with the PID of an ECM to be loaded by the receiver/decoder 2020 as specified by the PMT table. The PMT table is stored in the memory 4024, which contains a list of the different data streams and defines the contents of each data stream according to the respective PID. The PID is provided together with any other information  
20 relating to the conditional access system selected using the CALL\_SELECT command necessary to manage the ECM. Such information typically includes an identification of the conditional access system, the session number of a PPV event, and the index number of a PPV event.

The CA device 4100 assigns an identifier "DESCR\_ID" for the PID of the ECM and  
25 returns to the application, via device manager 4068, an address of the memory zone in which the DESCR\_ID for the PID of the ECM is to be found. An ECM PID corresponds to a unique DESCR\_ID. Alternatively, the CA device may return to the application, via device manager 4068, an error message indicating that no conditional

access system has been selected, the PID has been transmitted previously to the device, or that a predetermined maximum number of DESCR\_IDs has been reached.

The CA device 4100 also prepares to return the value of the PID to the demultiplexer and filter driver 4104 to enable the ECM to be received by the receiver/decoder.

- 5 The application subsequently transmits a command "CALL\_SERVICE\_SET\_PID" to the CA device 4100 to identify the addresses in the memory of the receiver/decoder of the PIDs of the video, audio, subtitle and teletext packets of the event to be demultiplexed. These values of these PIDs can be linked to the DESCR\_ID identifier previously assigned to the PID of the ECM. The identifier can then be used by the  
10 application to identify the components of the datastream without the need for the application to receive values for all of these components.

- Demultiplexing and filtering of the video, audio, subtitle and teletext packets does not commence until the application transmits a command "CALL\_SERVICE\_START" to the CA device 4100. Upon receipt of this command, the CA device transmits to the  
15 demultiplexer and filter driver 4104 the value of the PID of the ECM and the data packets of the event. The ECM is demultiplexed, filtered and transmitted to the smartcard 3020 for storage therein. The control word for the descrambling of the components of the event is extracted from the ECM and transmitted to the descrambler 4504.

- 20 The above operation of the CA device is summarised in Figure 14.

- First, in step S200, the CA device receives command "CALL\_ADD\_ECM" via port 4101 from an application, which provides the CA device 4100 with the PID of an ECM to be loaded by the receiver/decoder 2020 as specified by the PMT table, stored in the memory 4024 of the receiver/decoder. The CA device 4100 assigns an  
25 identifier DESCR\_ID to the PID of the ECM and returns the address of the memory zone of the identifier to the application.

Next, in step S202, the CA device receives command "CALL\_SERVICE\_SET\_PID" from an application, which provides the CA device 4100 with the addresses in the memory of the receiver/decoder of the PIDs of the video, audio, subtitle and teletext packets of the event to be demultiplexed so that the values of the PIDs can be  
5 retrieved by the CA device 4100. The values of the PIDs are linked to the DESCR\_ID assigned to the PID of the ECM.

Finally, in step S204, the CA device receives command "CALL\_SERVICE\_START" via port 4101 from an application, and in step S206 the CA device 4100 transmits to the demultiplexer and filter driver 4104 the value(s) of the PID(s) of the ECM and the  
10 packets of the event.

Again, in the above operation, only those parameters necessary to configure the manipulation protocol are transmitted to the demultiplexer and filter 4502. In addition, a single identifier DESCR\_ID is assigned to the PIDs of the ECM and the various different types of data packet, to which the application may subsequently refer  
15 without reference to all of the parameters linked thereto.

As described above, the CA device 4100 manages the loading of EMMs and the demultiplexing of ECMs for component descrambling for any conditional access system supported by the receiver/decoder 2020. For each of these management functions of the CA device 4100, the same commands are sent by any application to  
20 the CA device 4100; with respect to EMM loading, the CA device receives commands "CALL\_SET\_CAT", "CALL\_SET\_INFO" and "CALL\_EMM\_START" from any application irrespective of the conditional access system to be used, and, with respect to ECM management, the CA device receives commands "CALL\_ADD\_ECM", "CALL\_SERVICE\_SET\_PID" and "CALL\_SERVICE\_START" from any application,  
25 again irrespective of the conditional access system to be used. The EMM loading and ECM management only commences after the receipt of the final command; there is no need to update, for example, the demultiplexer and filter 4502 until a command to start EMM loading is received by the CA device 4100. There is also no need to transmit all of the parameters listed in the CAT table and PMT table to the

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demultiplexer and filter 4502; only the parameters associated with the required manipulation protocol are transmitted to the demultiplexer and filter 4502. Similarly, there is no need to transmit all of the parameters in the PMT table to an application, only a DESCR\_ID identifier of the parameters.

- 5 It will be understood that the present invention has been described above purely by way of example, and modifications of detail can be made within the scope of the invention.

Each feature disclosed in the description, and (where appropriate) the claims and drawings may be provided independently or in any appropriate combination.

- 10 In the aforementioned preferred embodiments, certain features of the present invention have been implemented using computer software. However, it will of course be clear to the skilled man that any of these features may be implemented using hardware. Furthermore, it will be readily understood that the functions performed by the hardware, the computer software, and such like are performed on or using electrical  
15 and like signals.

## **CLAIMS**

1. A device for use in a receiver/decoder operable with different conditional access systems, the receiver/decoder including means for manipulating data received by the receiver/decoder according to a manipulation protocol which is configurable in dependence on the conditional access system, and means for storing parameters associated with the manipulation protocol, the device comprising:
  - means for receiving a command instructing configuration of the manipulation protocol in dependence on the conditional access system;
  - means for retrieving a parameter from the storing means in dependence on the command; and
  - means for outputting said parameter to the manipulation means for use in configuring the manipulation protocol, whereby the manipulation means is not required to receive all parameters necessary to configure the manipulation protocol in dependence on all of the conditional access systems.
2. A device according to Claim 1, arranged to output said parameter to the manipulation means upon receipt of a command instructing output of said parameter.
3. A device according to Claim 1 or 2, wherein the device is capable of receiving commands from a configuring application.
4. A device according to any preceding claim, wherein the parameters include an identifier of an EMM.
5. A device according to any preceding claim, wherein the parameters include an identifier of an ECM.
6. A device according to any preceding claim, arranged to receive requests from a plurality of client applications for a plurality of parameters.



7. A receiver/decoder including a device according to any preceding claim, said manipulation means arranged to operate under the control of the device to manipulate data and said means for storing parameters associated with the manipulation protocol.

8. A method of configuring a receiver/decoder to access data, the receiver/decoder  
5 including means for manipulating data received by the receiver/decoder according to a manipulation protocol which is configurable in dependence on the conditional access system, and means for storing parameters associated with the manipulation protocol, the method comprising the steps of:-

10 receiving a command instructing configuration of the manipulation protocol in dependence on the conditional access system;

retrieving a parameter from the storing means in dependence on the command;  
and

15 outputting said parameter to the manipulation means for use in configuring the manipulation protocol, whereby the manipulation means is not required to receive all parameters necessary to configure the manipulation protocol in dependence on all of the conditional access systems.

9. A method according to Claim 8, wherein said parameter is output upon receipt of a command instructing output of said parameter.

20 10. A method according to Claim 8 or 9, wherein commands are received from a configuring application.

11. A method according to any of Claims 8 to 10, wherein the parameters include an identifier of an EMM.

12. A method according to any of Claims 8 to 11, wherein the parameters include an identifier of an ECM.

25 13. A method according to any of Claim 8 to 12, wherein requests are received from a plurality of client applications for a plurality of parameters.

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14. A device for use in a receiver/decoder, the receiver/decoder including means for storing parameters associated with manipulating data received by the receiver/decoder, and at least one application or further device, the device comprising:

- 5 means for generating an identifier for at least one parameter; and  
means for outputting said identifier to said at least one application or further device.

15. A device according to Claim 14, the receiver/decoder being operable with different conditional access systems, said parameters being associated with manipulating data received by the receiver/decoder according to a manipulation  
10 protocol which is configurable in dependence on the conditional access system.

16. A device according to Claim 14 or 15, arranged to store a plurality of parameters, each having a respective assigned identifier.

17. A receiver/decoder including a device according to any of Claims 14 to 16, and said means for storing parameters associated with the manipulation of data received  
15 by the receiver/decoder, and said further device or said application.

18. A method of configuring a receiver/decoder, the receiver/decoder including means for storing parameters associated with manipulating data received by the receiver/decoder, and at least one application or further device, the method comprising:

- 20 generating an identifier for at least one parameter; and  
outputting said identifier to said at least one application or further device.

19. A method according to Claim 18, further comprising storing a plurality of parameters, each having a respective assigned identifier.

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**ABSTRACT****CONFIGURING METHOD AND DEVICE**

A device for use in a receiver/decoder operable with different conditional access systems, the receiver/decoder including means for manipulating data received by the receiver/decoder according to a manipulation protocol which is configurable in dependence on the conditional access system, and means for storing parameters associated with the manipulation protocol, the device comprising:

means for receiving a command instructing configuration of the manipulation protocol in dependence on the conditional access system;

means for retrieving a parameter from the storing means in dependence on the command; and

means for outputting said parameter to the manipulation means for use in configuring the manipulation protocol, whereby the manipulation means is not required to receive all parameters necessary to configure the manipulation protocol for all of the conditional access systems.

(Figure 11)

Fig.1.

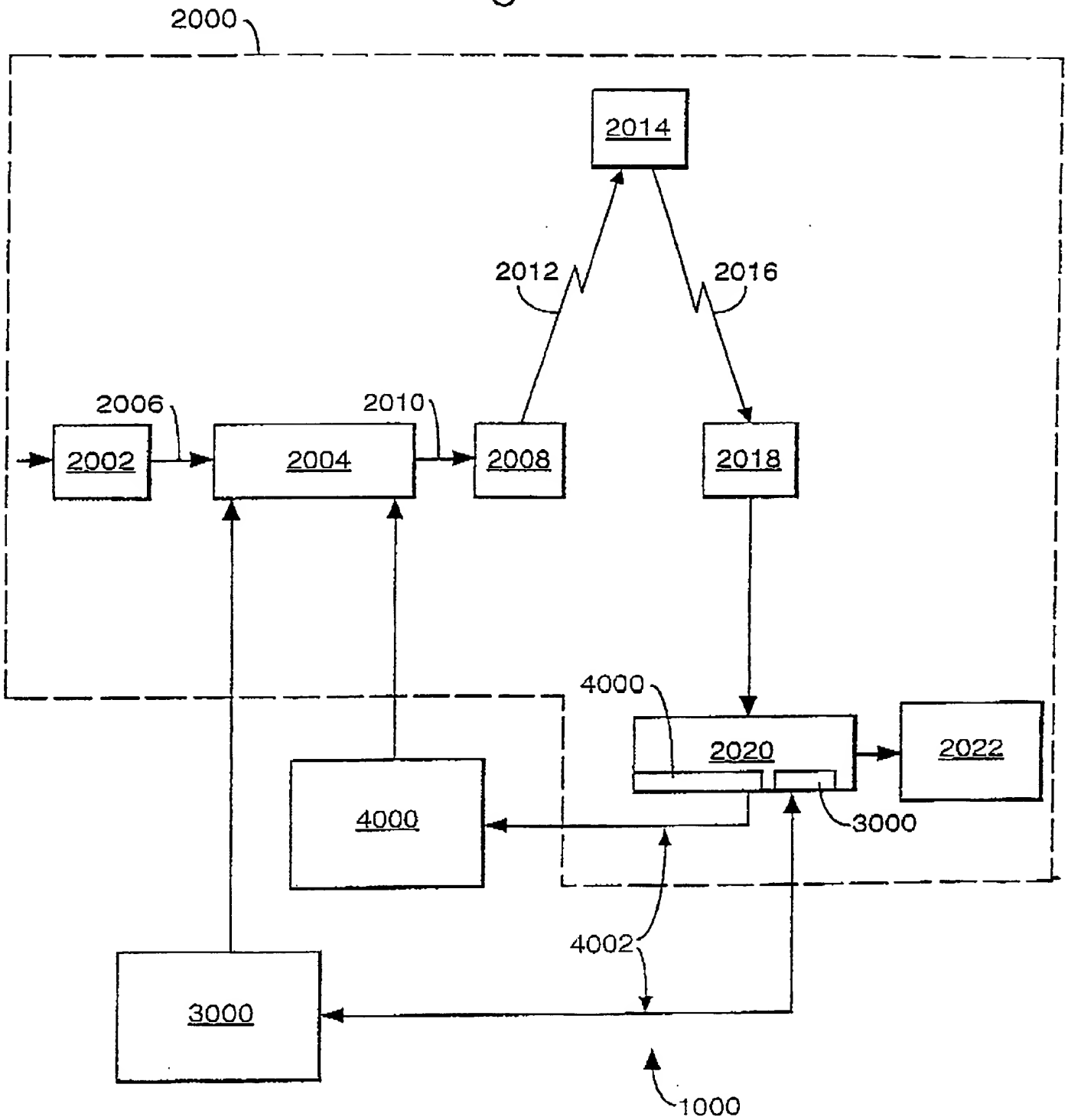


Fig.2.

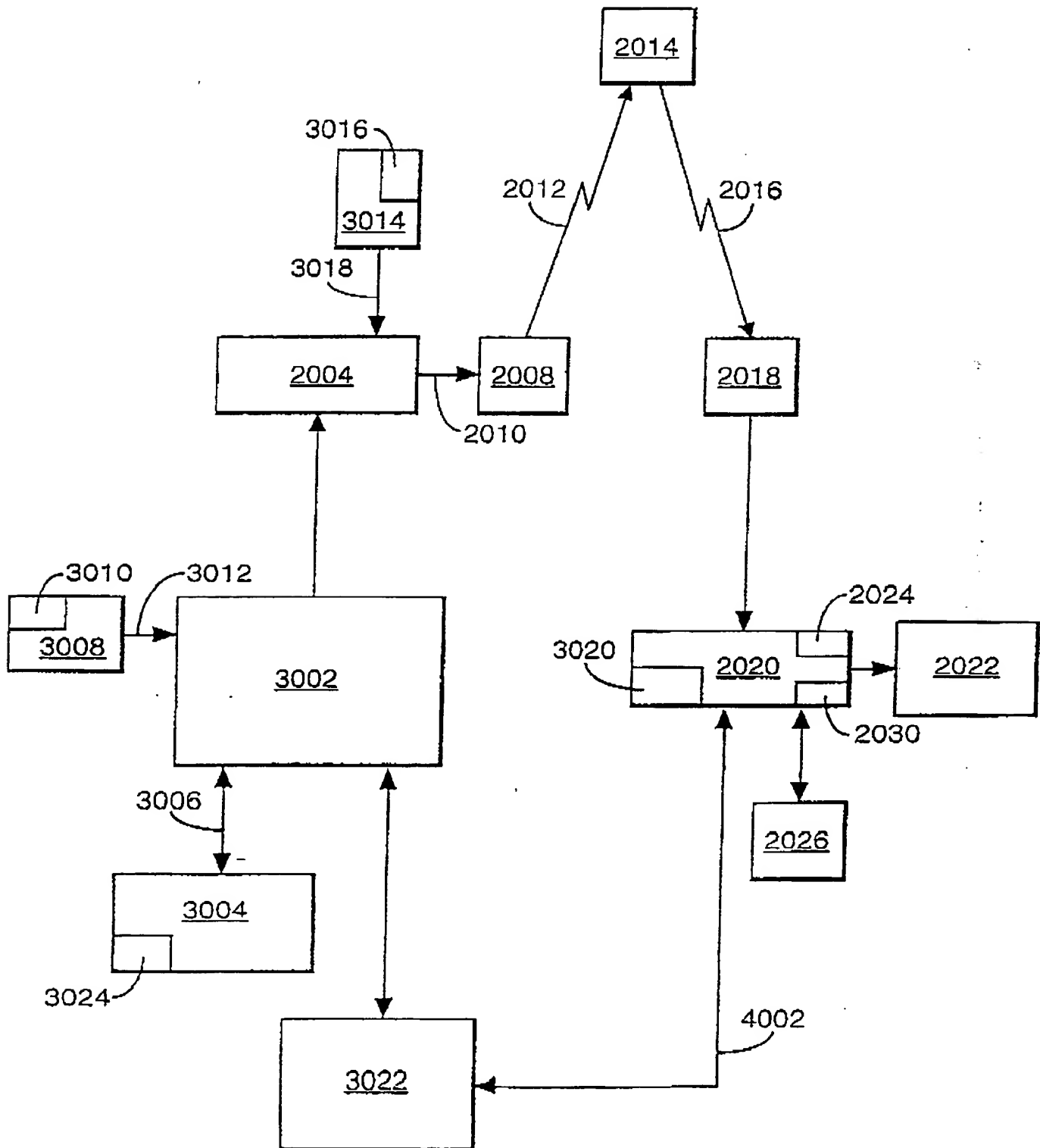
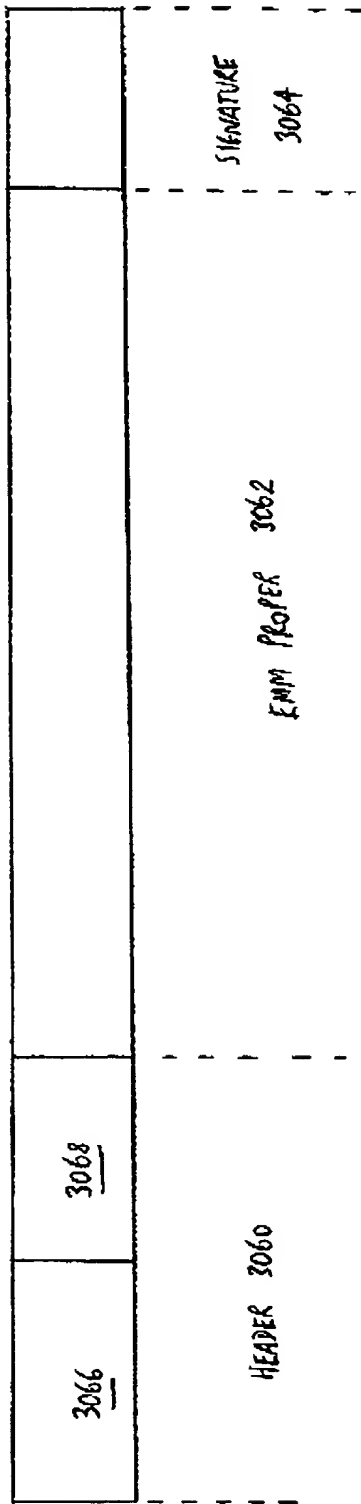


FIG. 3



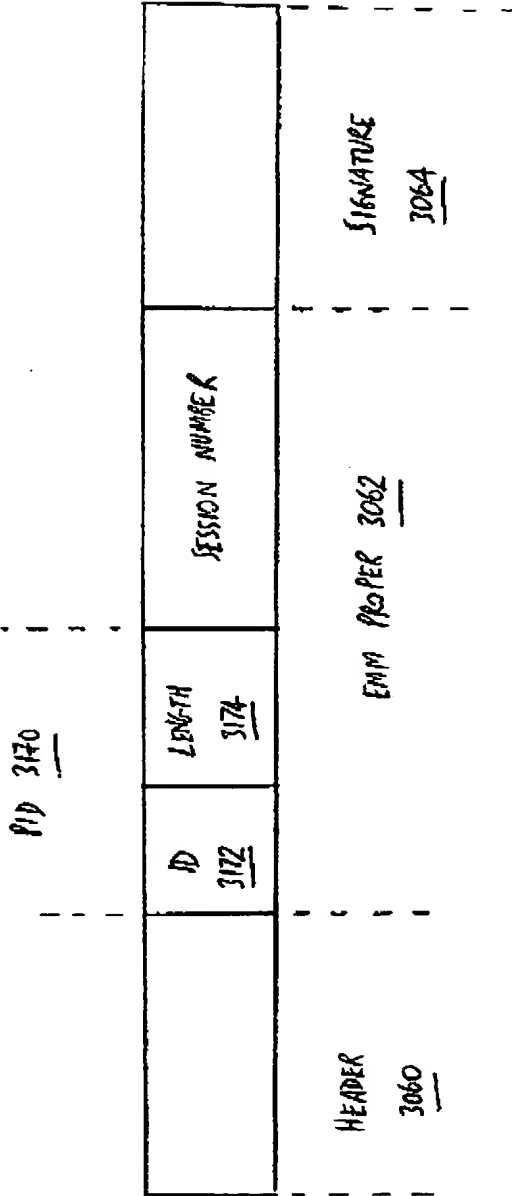


FIG. 4

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Fig. 5 .

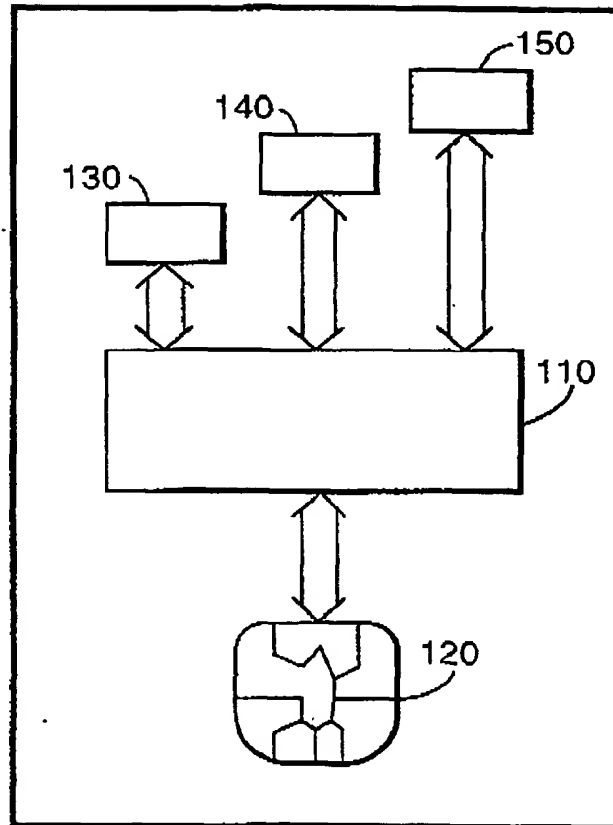
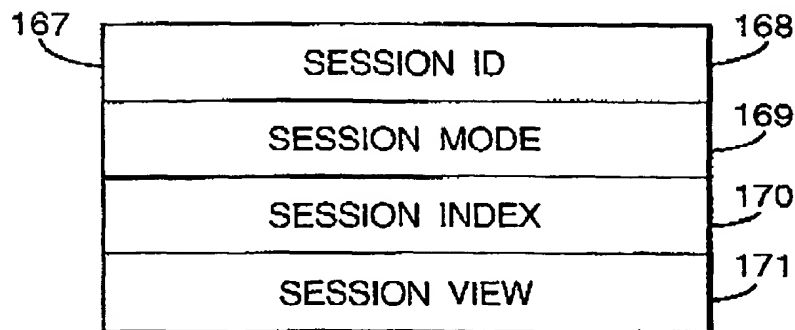


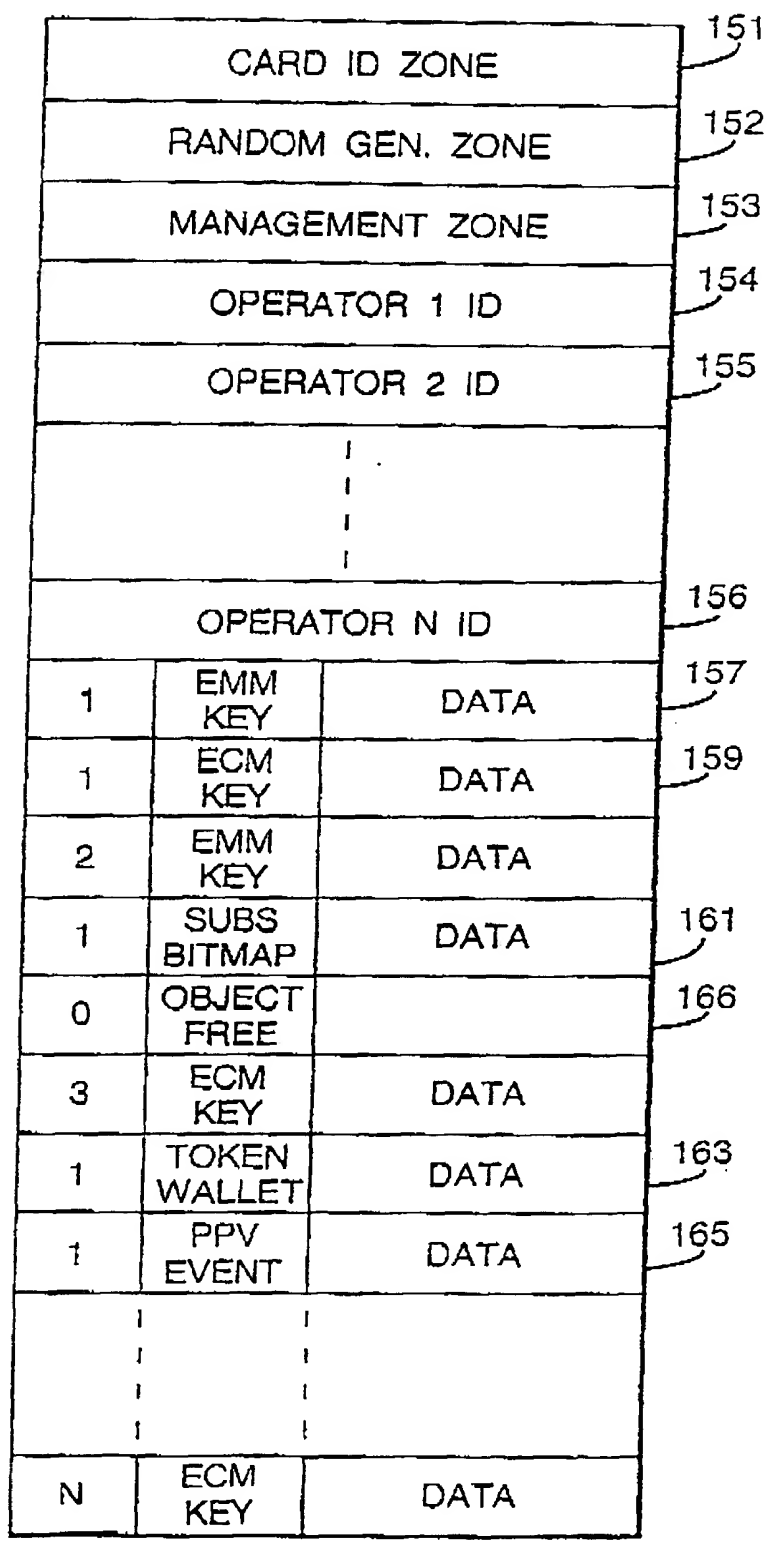
Fig. 7





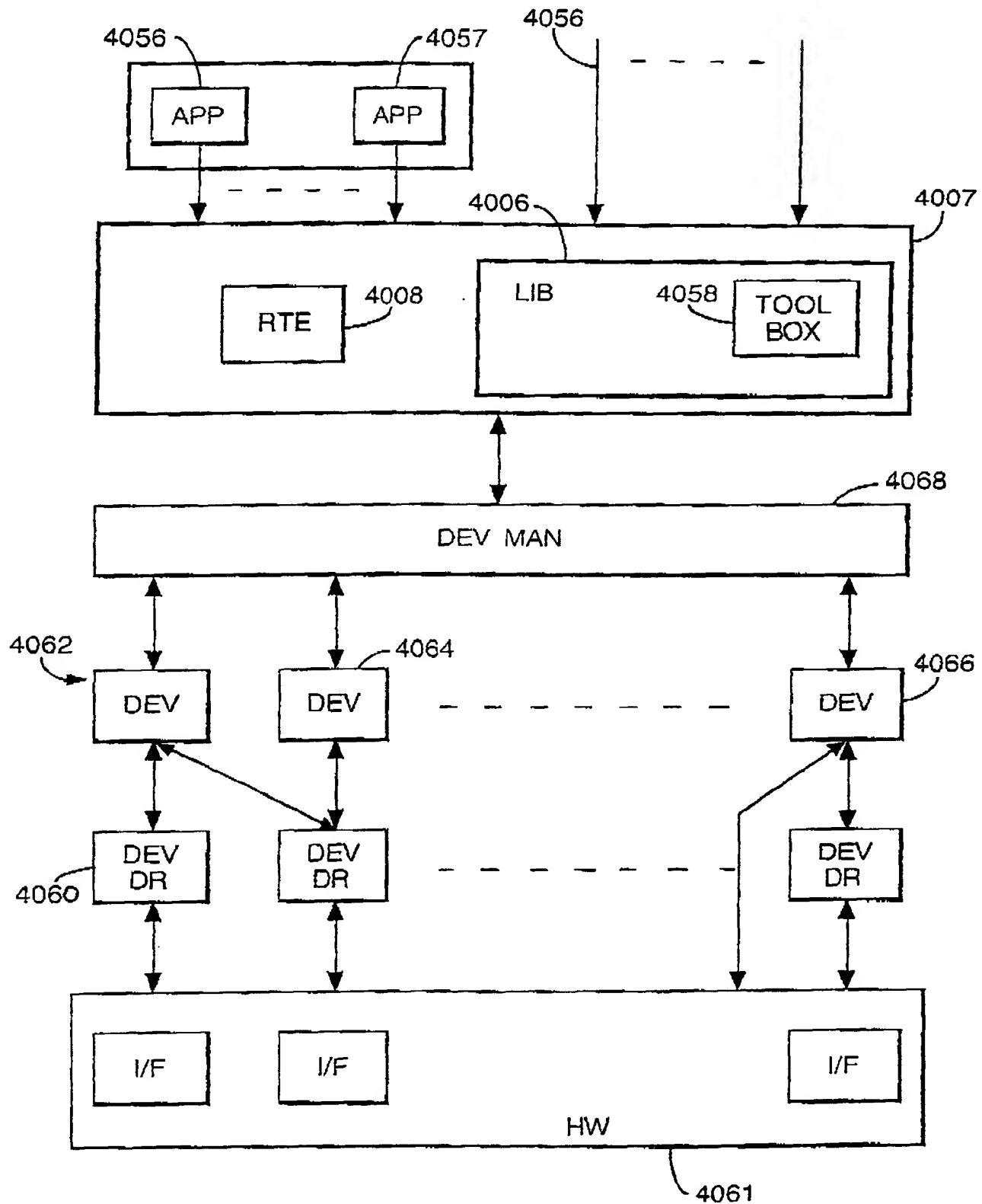
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Fig. 6



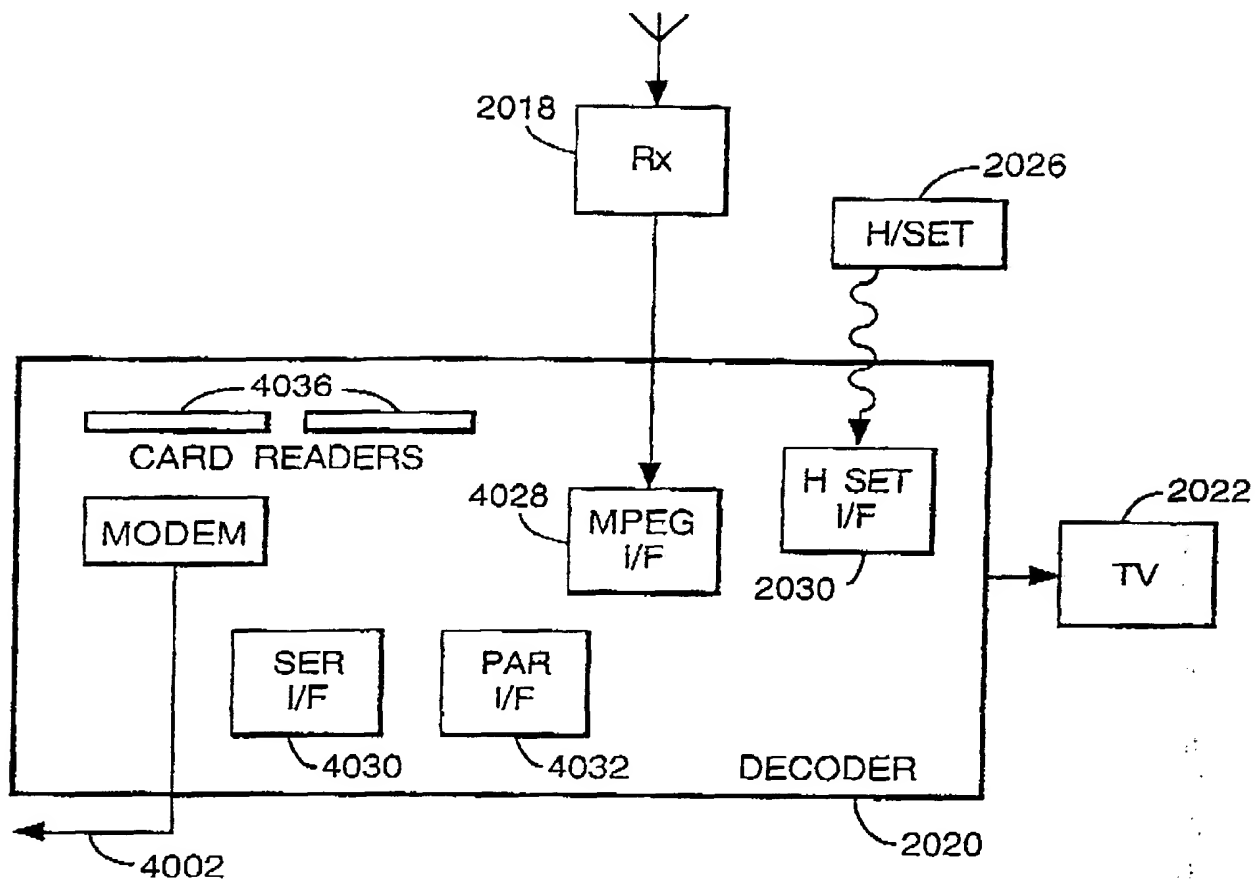
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Fig 8



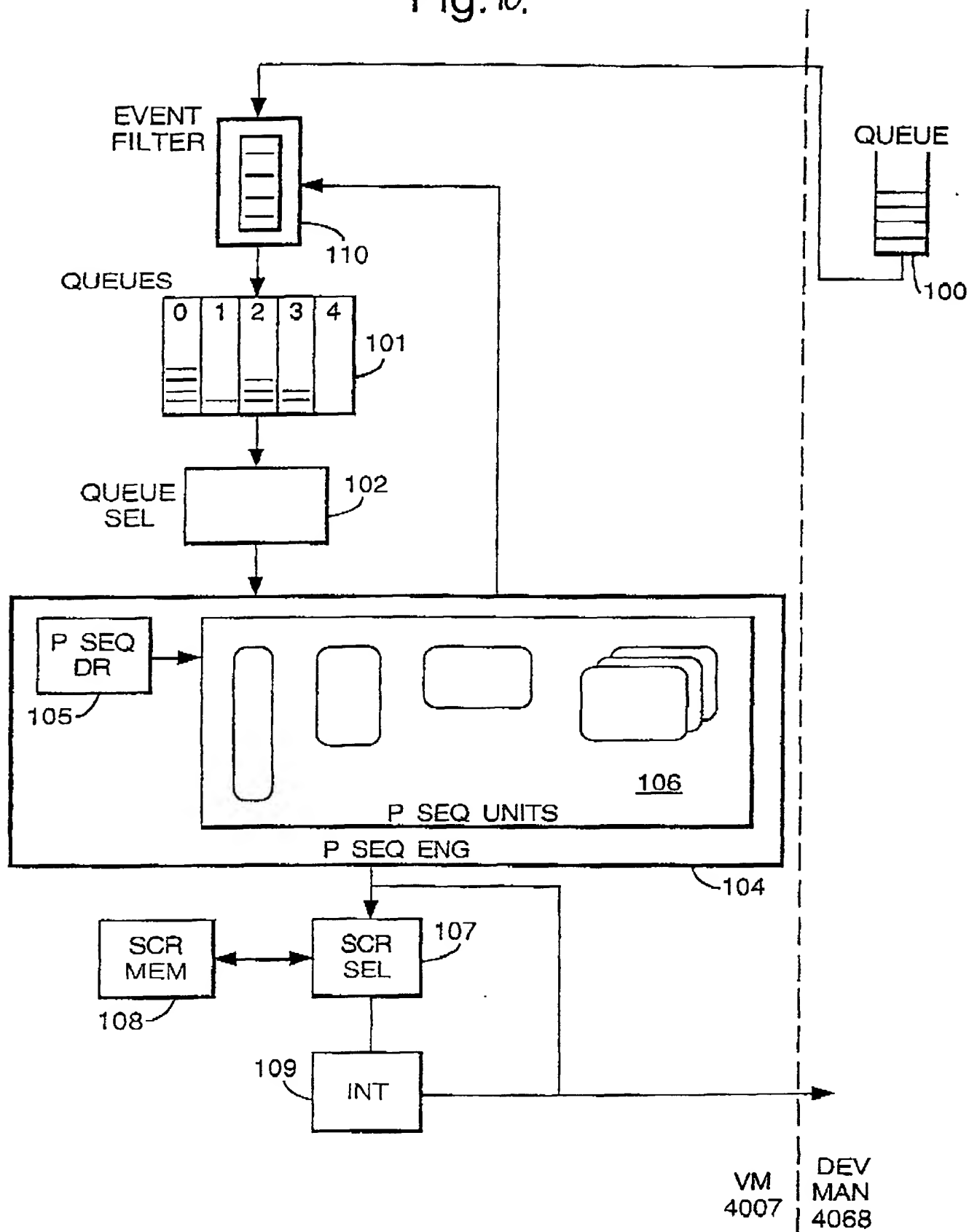
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Fig. 9



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Fig. 10.



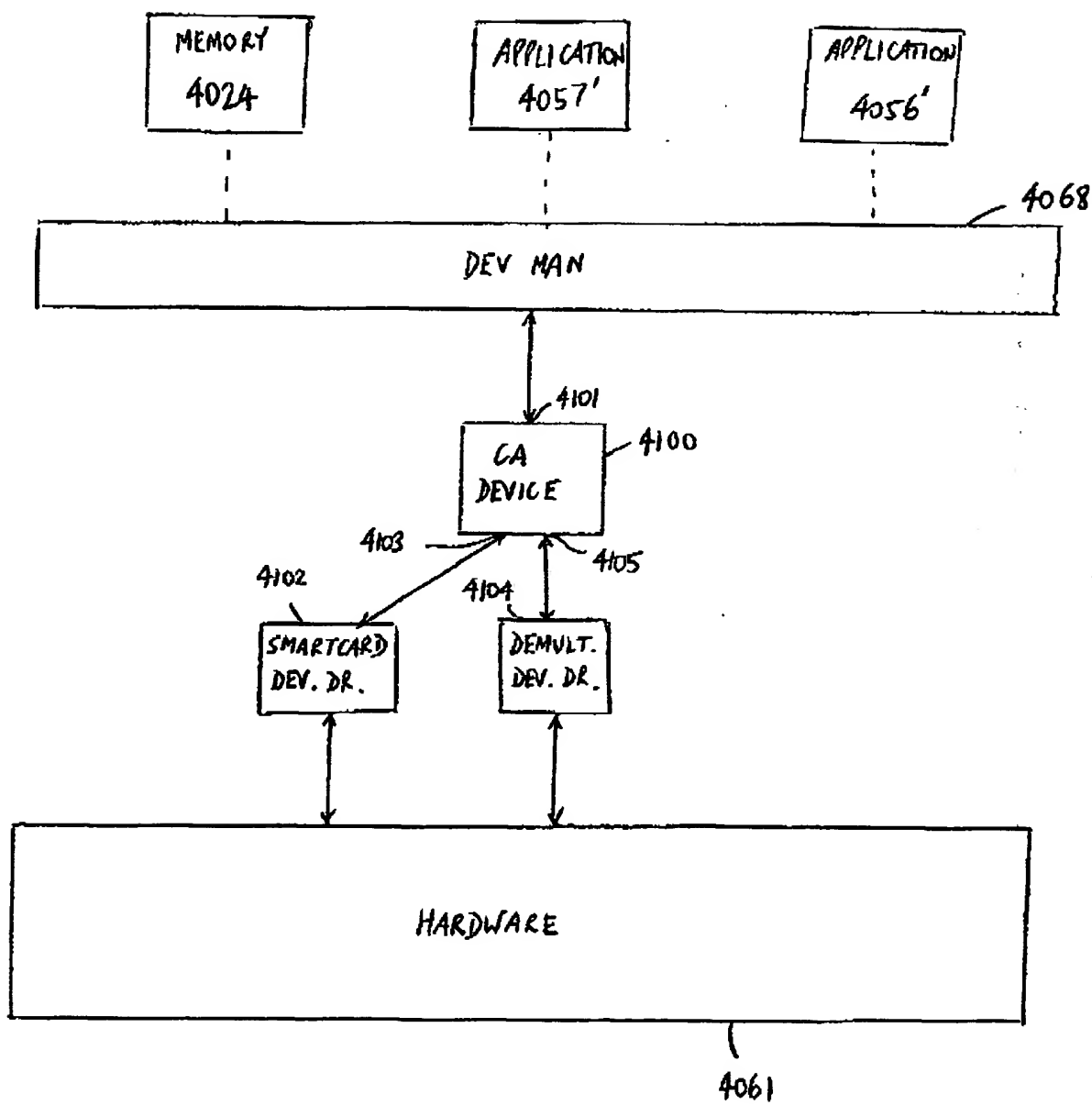


FIGURE 11

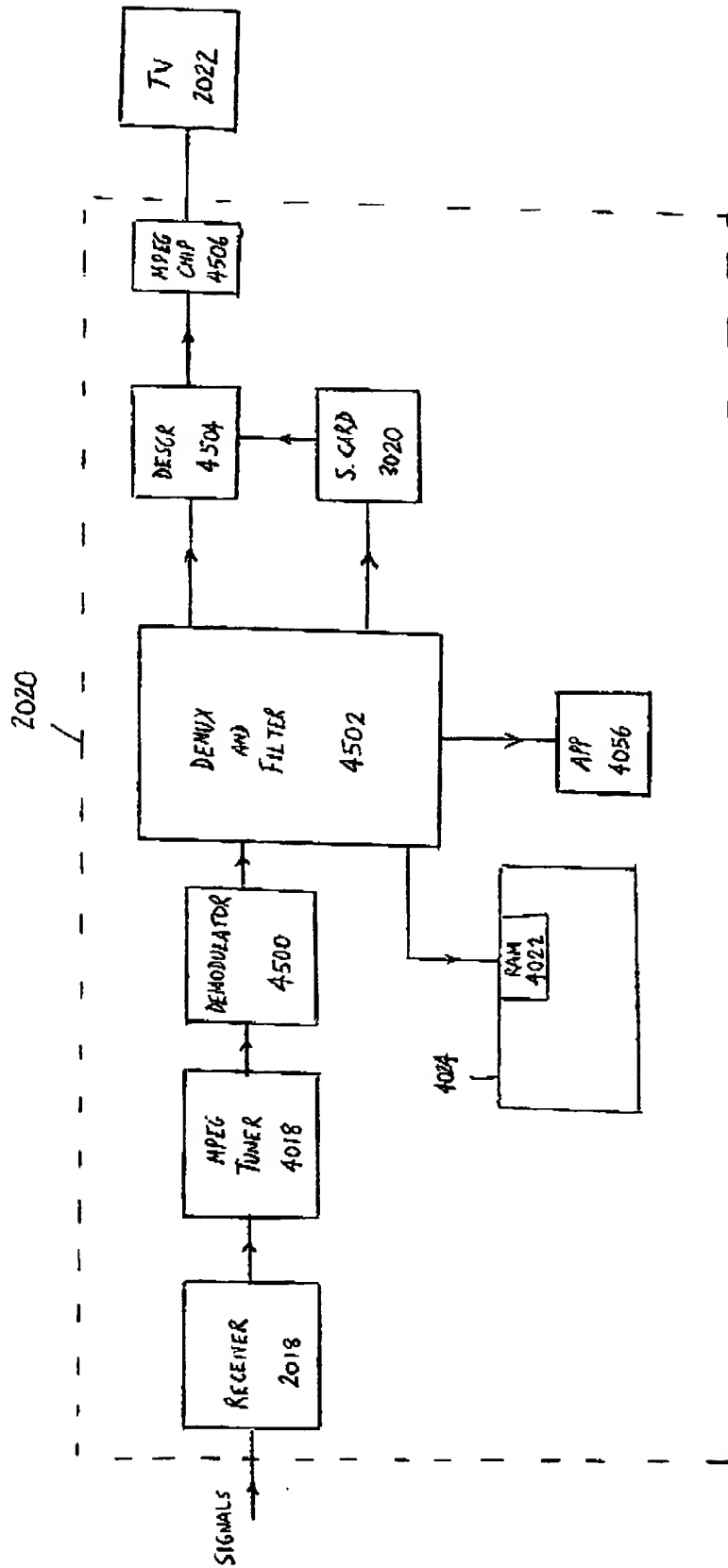


FIGURE 12

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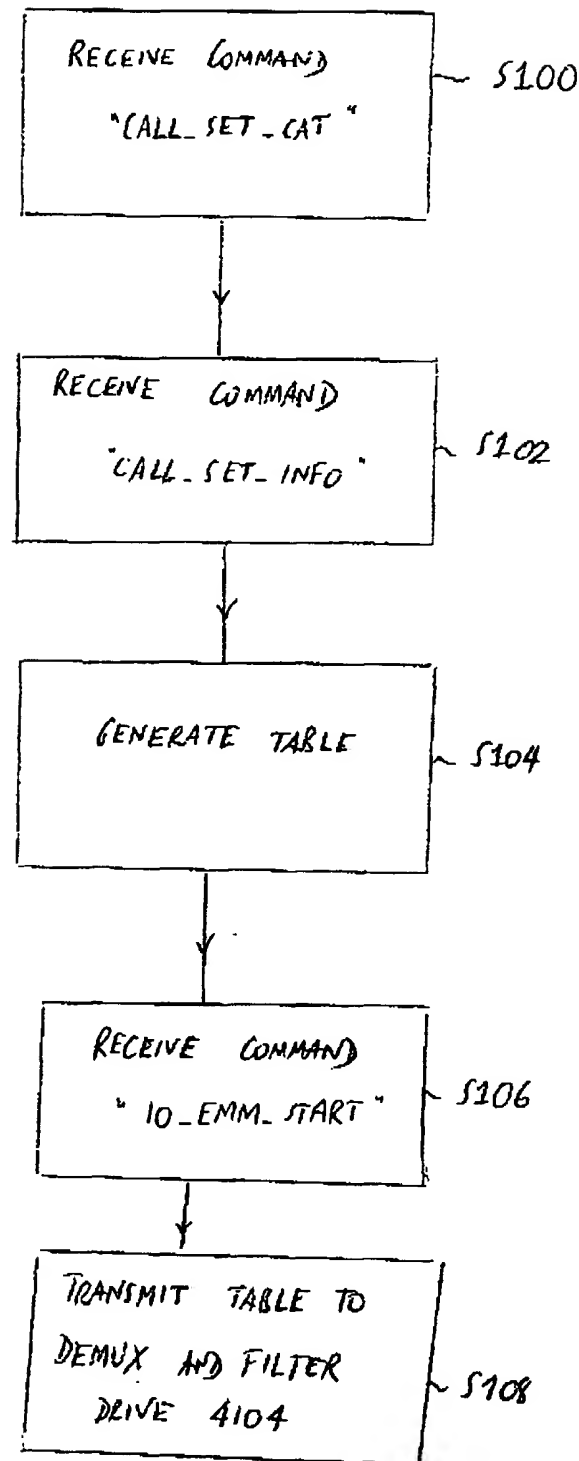


FIGURE 13

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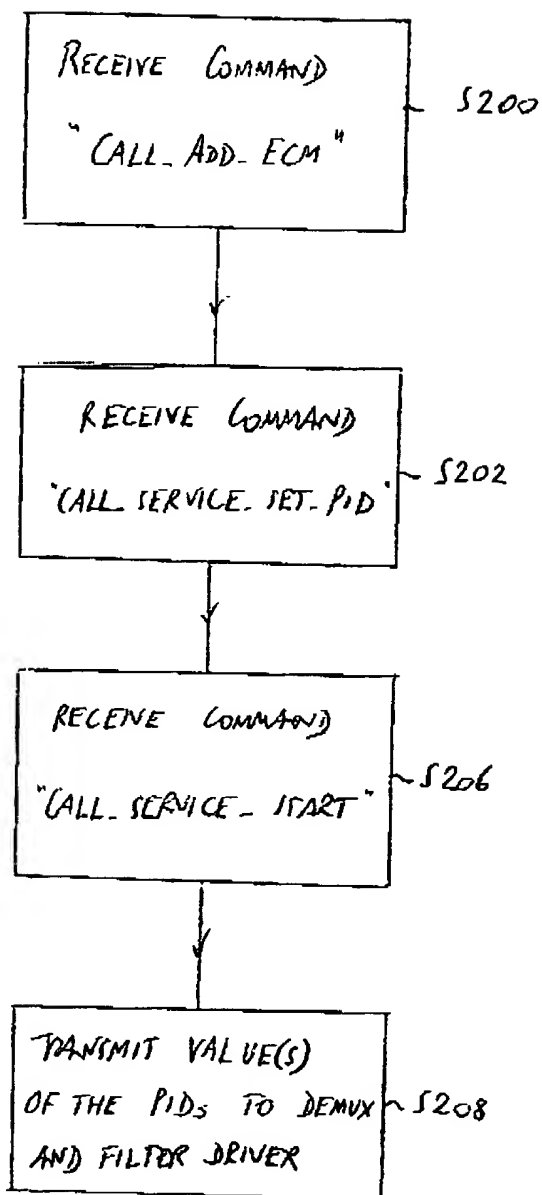


FIGURE 14



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